

03/07/01  
11054 U.S. PTO

03-08-01

A

IBM Docket No. GB920000044US3

**In the United States Patent and Trademark Office  
Patent Application Transmittal**

*Transmitted herewith for filing is the Patent Application of:*

Inventors(s): Kent E. Seamons and William H. Winsborough  
For: Automated Trust Negotiation  
Assignee Name: International Business Machines Corporation  
Assignee Residence: Armonk, New York

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**Enclosed are**

74 pages of specification, including 8 claims, plus 3 sheets of *formal* drawings.  
An assignment to International Business Machines Corporation, Armonk, New York 10504.  
A certified copy of a/an application.

X Declaration and Power of Attorney. not fully executed  
PTO-1449 & references

X A return post card

Other:

**Filing Fee Calculation (For Other Than Small Entity)**

Basic Fee:						\$710.00
Claims Fees:	Filed	Limit	Extra		Rate per Extra	
Total claims:	8	20	0		\$18.00	\$0.00
Independent claims:	2	3	0		\$80.00	\$0.00
Multiple Dependent Claim Presented					\$270.00	\$0.00
					<b>Total</b>	<b>\$710.00</b>

Please charge the Deposit Account identified below for the **Total** set forth above. The Commissioner is authorized to charge payment of any additional filing fees required under 37 CFR §1.16 and any patent application processing fees under 37 CFR §1.17 or to credit any overpayment to the identified account. A duplicate copy of this sheet is enclosed.

**Express Mail Certificate**  
Mail Label Number: EK873465761US  
Date: March 7, 2001  
I hereby certify that I am depositing the papers identified above with the U.S. Postal Service "Express Mail Post Office to Address" service on the above date, addressed to the Assistant Commissioner for Patents, Washington, DC 20231  
*Dianne Lane*  
Dianne Lane

BY:

*Jeanine S. Ray-Yarletts*  
Jeanine S. Ray-Yarletts

Attorney of Record Reg. No. 39,808

Date: March 7, 2001

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DATE MAILED Mar. 7, 2001

☒ APPLICATION  
☒ DECLARATION, *not executed*  
☐ ASSIGNMENT & PTO 1559  
☒ DRAWINGS *formal*  
☐ MISSING PARTS  
☐ OTHER

AMEND. & TRANS. FORM  
☐ IDS & FORM PTO 1449  
☐ PET. FOR EXT. OF TIME  
☐ ISSUE FEE  
☒ CERT. OF MAILING

DOCKET: GB920000044US3

SER. NO. \_\_\_\_\_  
INTL. APPLN. NO. \_\_\_\_\_

IN RE APPLICATION OF: K.E. Swann, et al

TITLE OF APPLICATION: Automated Trust Negotiation

PGS. OF SPEC: 74 NO. OF CLAIMS 8 SHTS. OF DWGS: 3

TOTAL CHARGES: 710.00 DEPOSIT ACCT. NO. 09/0961

ATTORNEY: JSR